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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	4	Application No.	09/853,770
		Filing Date	May 11, 2001
		First Named Inventor	Satoshi Shigematsu
		Art Unit	
		Examiner Name	
		Attorney Docket Number	96790P355

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
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<input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08	<input type="checkbox"/> Request for Refund	<div style="border: 1px solid black; padding: 5px;">Copy of European Search Report; Six (6) cited references; return postcard</div>
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA	<div style="border: 1px solid black; height: 40px; margin-top: 10px;">Remarks</div>	
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	7/27/01

### CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name	Kelly Walsh	
Signature		Date
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SATOSHI SHIGEMATSU, ET AL.

Application No.: 09/853,770

Filed: May 11, 2001



Art Group:

Examiner:

For: **AUTHENTICATION TOKEN AND  
AUTHENTICATION SYSTEM - UTILITY**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
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In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The references were cited in a Search Report dated May 18, 2004 (copy enclosed herewith) in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated May 28, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Date: 7/27/04

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Kelly Walsh  
Kelly Walsh

7-27-04  
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<p>Substitute for form 1449A/PTO</p> <h1 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p style="text-align: center;"><i>(use as many sheets as necessary)</i></p>				<i><b>Complete if Known</b></i>	
Sheet	1	of	1	Application Number	09/853,770
				Filing Date	May 11, 2001
				First Named Inventor	Satoshi Shigematsu
				Art Unit	
				Examiner Name	
				Attorney Docket Number	96790P355



FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			
		EP 0 994 439	04-19-2000	Sony Corporation	
		EP 0 924 656	06-23-1999	TR W, Inc.	
		DE 196 18 144	01-05-1996	Ziegler, Hans-Berndt, Dr.	
		DE 197 03 970	08-06-1998	Wilke, Thomas	
		WO 99/56429	11-04-1999	Identix Incorporated	
		WO 00/36566	06-22-2000	Koninklijke Philips Electronics N.V.	

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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